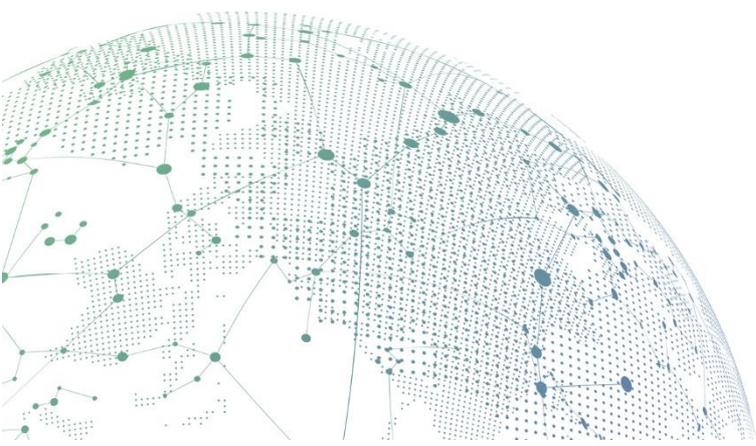




# IAM

## Password-based authentication with AD

**Version 12.0.42**



## Change Log

Date	Change Description
April 27, 2020	Version 12.0.42 document release.

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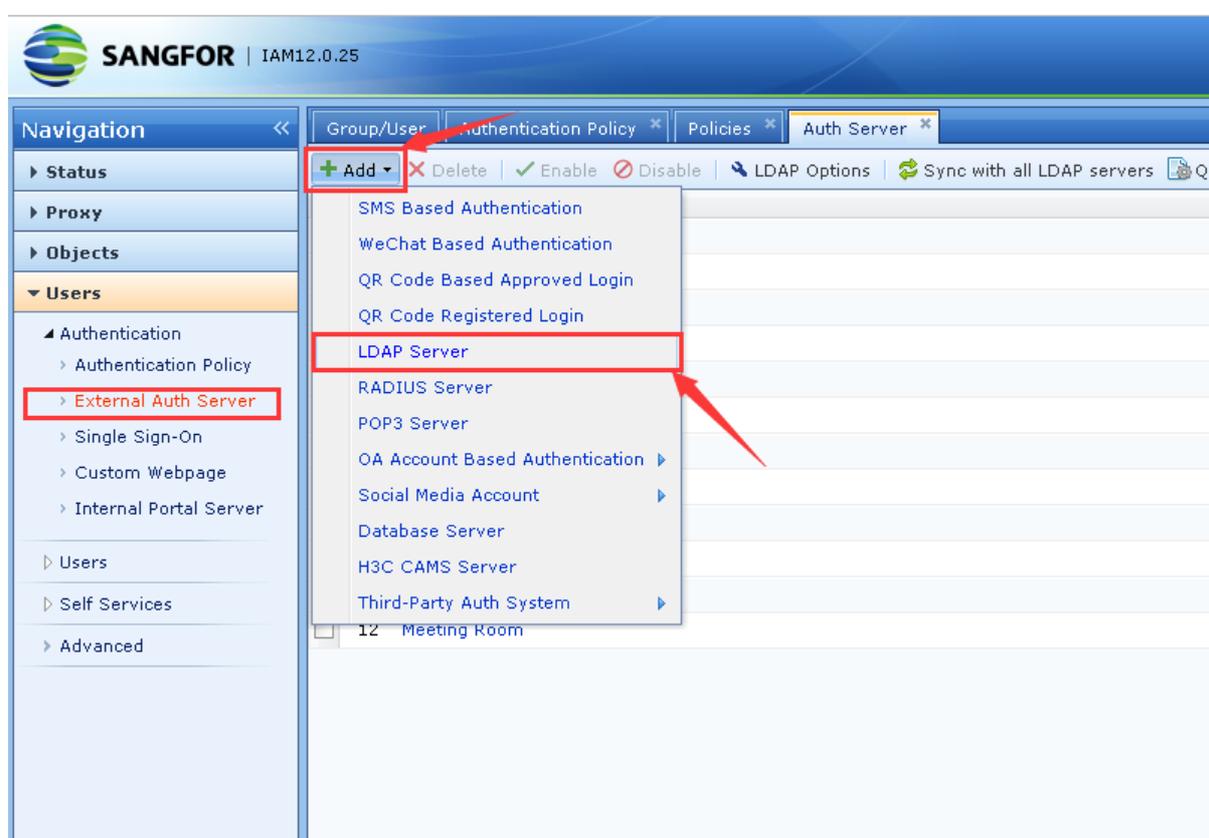
## Chapter 1 Content Requirement

1. IAM device (version 12.0.42 or above), a PC, and an AD domain server.
2. Deploy the network environment, make sure all the devices and AD domain server can connect to IAM.

## Chapter 2 Configuration and Screenshots

### 2.1 LDAP Server Configuration

1. Edit Users > External Auth Server > Add > LDAP Server.



2. Configure LDAP server information.

The screenshot shows the 'Add LDAP Server' configuration window. The 'Enable' checkbox is checked. The 'Server Name' field is empty. The 'Type' dropdown is set to 'MS Active Directory'. The 'Basics' tab is selected. The 'IP Address' field contains '10.10.10.2', the 'Port' field contains '389', and the 'Timeout (sec)' field contains '5'. The 'Search' section has the 'Anonymous' checkbox checked. The 'Admin DN' field contains 'admin@acteam.com.cn' and the 'Admin Password' field is masked with dots. The 'Enable encryption' checkbox is unchecked. The 'Encryption Method' section has 'SSL' selected. The 'Verify certificate' checkbox is unchecked. The 'Domain Name' field is empty. The 'Certificate' field contains '\*.cer' and has a 'Browse...' button. The 'BaseDN' field contains 'DC=acteam,DC=com,DC=cn' and has a 'Test Validity' button. At the bottom of the window are 'Commit' and 'Cancel' buttons.

**[IP Address]:** IP address of LDAP server.

**[Authentication port]:** Port which connected to LDAP server, for example, AD domain is 389.

**[Timeout]:** Set the timeout period of the authentication request. After the system forwards the authentication request to the LDAP server, if there is no response after this time, the authentication is considered to be invalid. If the network between the device and the LDAP server is slow, you can try Set the timeout to be larger (for example, 10 seconds).

**[Search]:** This option is available when the LDAP server supports anonymous search.

**[Admin DN]:** User account used for querying and synchronizing to the LDAP server; for example, the account is: administrator, the domain name is sangfor.com, then the format is: username@domain, administrator@sangfor.com.cn

**[Admin Password]:** The password corresponding to the user who is used to bind the server.

**[BaseDN]:** Specify the starting point of the domain search path, which determines the effective scope of the LDAP rule. If the user is outside the specified BaseDN, the user cannot be authenticated by the external server, and the configured policy will not take effect for the user. Therefore, you can use BaseDN to divide the area of different administrators.

**[Enable encryption]:** In September 2019, Microsoft announced in the security bulletin [ADV190023 | Microsoft Guidance for Enabling LDAP Channel Binding and LDAP Signing] that LDAP channel binding and LDAP signing will be enabled on the Active Directory server through the security update method (KB patch) in mid-January 2020. The security of Active Directory domain controllers can be significantly improved by configuring the server to reject Simple Authentication and Security Layer (SASL) LDAP binds that do not request signing (integrity verification) or to reject LDAP simple binds that are performed on a clear text (non-SSL/TLS-encrypted) connection. SASLs may include protocols such as the Negotiate, Kerberos, NTLM, and Digest protocols. To fulfill the requirement of security for Sangfor IAM, Sangfor IAM supports for encryption docking.

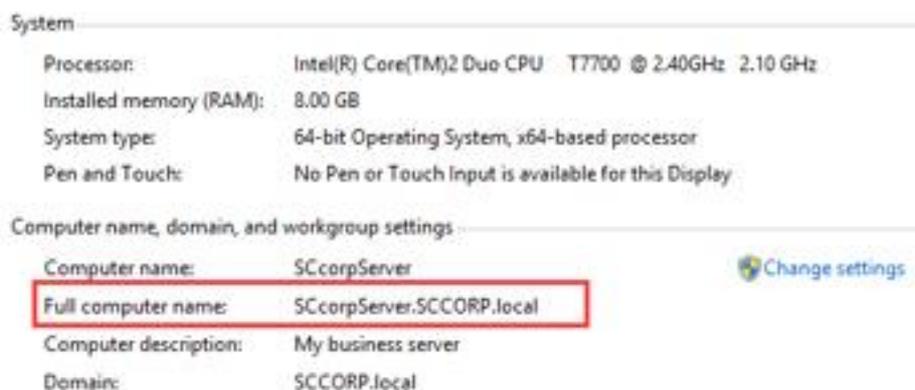
Official configuration by Microsoft:

<https://support.microsoft.com/en-us/help/935834/how-to-enable-ldap-signing-in-windows-server>

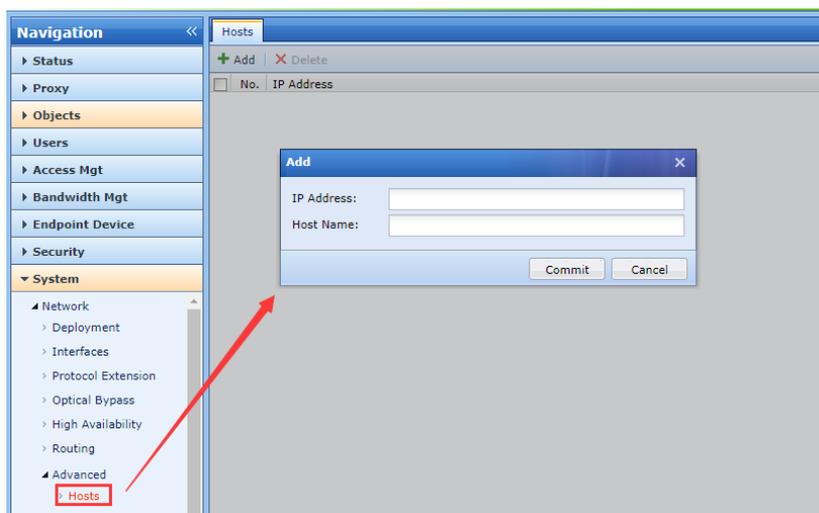
Encryption method: If the AD domain server is configured with [LDAPS signing requirement option], **it is recommended to choose TLS as the encryption method** (Microsoft supports SSL and TLS. After the AD domain enables the signature option, IAM can only connect to AD through encryption. **In particular, Windows 2000/2003/2008 do not support TLS encryption, only SSL encryption can be used**).

- When encryption docking is not enabled, the default port is 389.
- If encryption docking is enabled, when the encryption method is SSL, the authentication port is 636.
- If encryption docking is enabled, when the encryption method is TLS, the authentication port is 389.

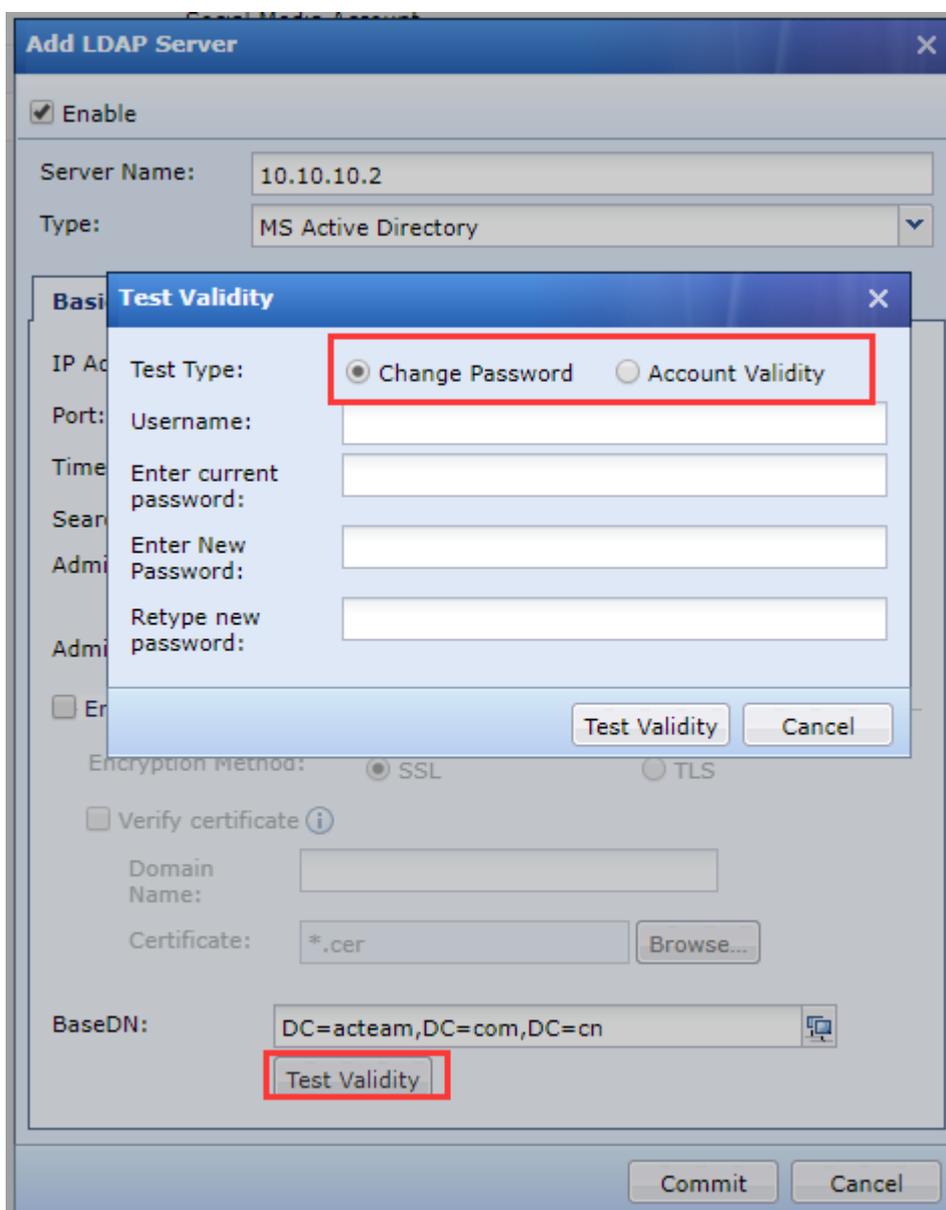
Verify certificate: If the AD domain server is configured with [LDAPS signing requirement option], you need to configure this item, fill in the domain name [AD domain server full computer name], and import the certificate.



Configure hosts: HOSTS resolves the domain name to the IP of the AD domain server.



3. Test validity [test validity].



[Change Password]: If the AD domain account is checked for first time authentication and the

password can be changed, then the password can be changed directly here.

**[Account Validity]:** Tests whether the IAM device can communicate directly with the AD domain and verify that the account is valid.

4. Edit **[sync options]** (If there is no special requirement, it is not recommended to edit and modify, keep the default).

The screenshot shows the 'Add LDAP Server' dialog box with the 'Sync Options' tab selected. The 'Enable' checkbox is checked. The 'Server Name' is '10.10.10.2' and the 'Type' is 'MS Active Directory'. The 'Sync Options' section includes the following fields:

- User Attribute: sAMAccountName
- Username: displayName
- Description Attribute: description
- User Filter: (!(objectClass=user)(objectClass=person))
- OU Filter: (!(objectClass=organizationalUnit)(objectClass=group))
- Security Group Filter: (objectClass=group)
- Security Group Attribute: member

Buttons for 'Commit' and 'Cancel' are visible at the bottom right.

**[User Attribute]:** Specifies the attribute field on the LDAP server that uniquely identifies the user. For example, the sAMAccountName attribute on the AD domain identifies the user, and on the Novell LDAP, the uid attribute identifies the user.

**[Username]:** Specifies the attribute field on the LDAP server that uniquely identifies the user display name. For example, the displayName attribute on the AD domain identifies the user's display name.

**[Description Attribute]:** Specifies the attribute field on the LDAP server that uniquely identifies the user description. For example, the description attribute on the AD domain identifies the user's description.

**[User Filter]:** Specifies the user filtering condition of the LDAP server. That is, you can determine whether a node is a user. For example, you can filter whether a node is a user by filling in "(!(objectClass=user)(objectClass=person))" .

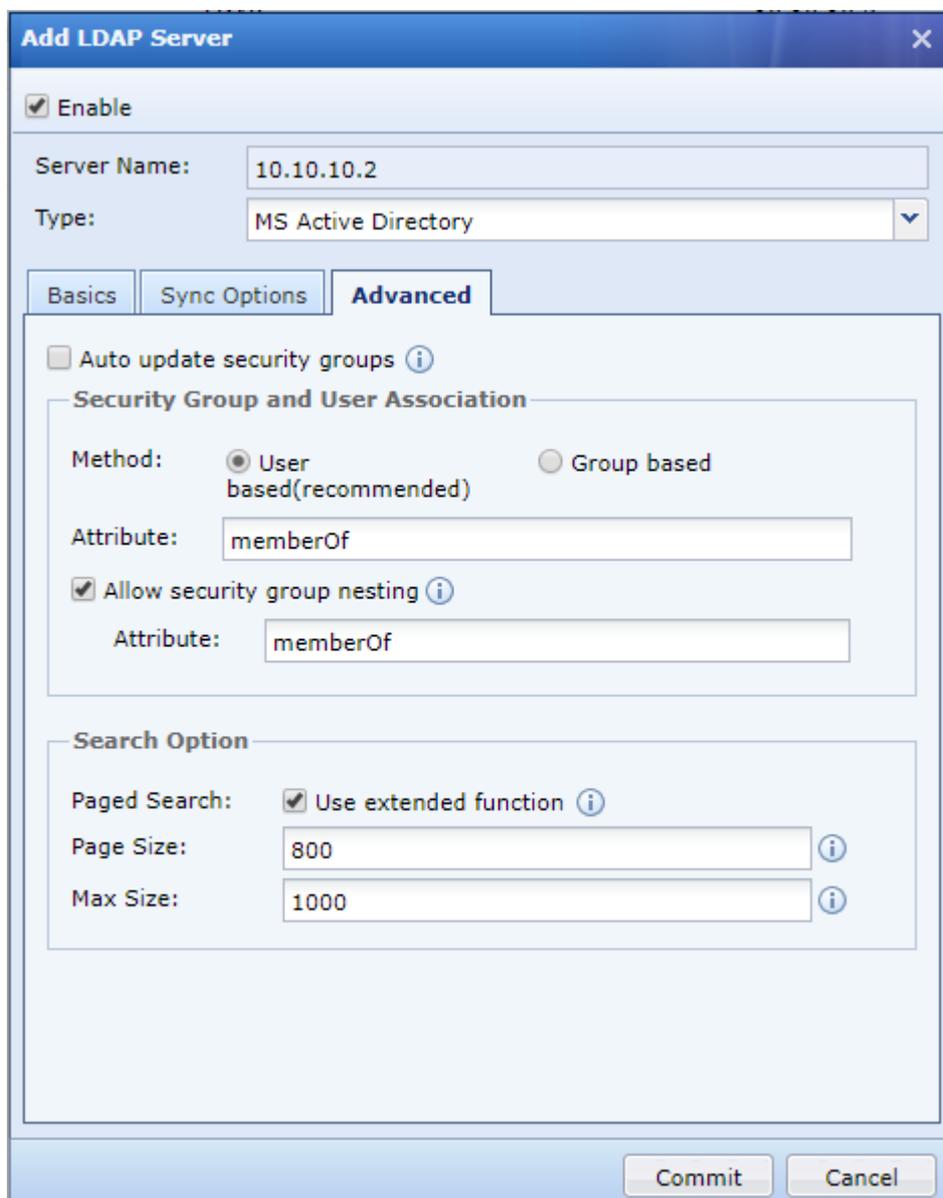
**[OU Filter]:** Specifies the organizational unit filter condition of the LDAP server, that is, whether the node can be an organizational unit by using this condition. For example, the AD domain can be filled in by "((objectClass=organizationalUnit)(objectClass=organization)(objectClass=domain)(objectClass=domainDNS)(objectClass=container))" to filter whether a node is an organizational unit.

**[Security Group Filter]:** Specify the (security) group filter condition of the LDAP server (Note: for the AD domain, here is the security group, for the non-AD domain, here is the group), that is, through this condition, it can be determined Whether the node is a (secure) group, for example, the AD domain can be used to filter whether a node is a security group by filling in "(objectClass=group)".

**[Security Group Attribute]:** Specifies which attribute on the AD domain server identifies the member list of the security group. This attribute takes effect only when the LDAP server is an AD domain. If there is no special case in this field, you can usually fill in the member.

When the server type selects "MS Active Directory", the above parameters are set. Generally, the default parameters can be used. If the server is other types of LDAP, it needs to be adjusted according to the actual situation, so that the device can read the correct LDAP.

5. Edit **[Advance]** configuration.



**[Auto update security groups]:** After checking, the LDAP server will be requested in real time to

synchronize the contents of the required synchronization to the local, but will increase the pressure on the LDAP server. This option is only valid for the AD domain.

**[Security Group and User Association]:** The default configuration is recommended here.

**[Method]:** You can choose "users to find (recommended)" or "group to find users". If the user has an attribute on the LDAP server that holds the group to which it belongs, you can select "User Group (Recommended)" because this method will provide better performance and reduce the performance pressure on the LDAP server. If there is no information stored between the user and the group on the LDAP server, only the group saves the user. In this case, you need to check the group to find the user.

**[Attribute]:** If the "User based" mode is selected, this field needs to fill in the group on the LDAP server or the user saves the attributes of its parent group. For example, the memberOf attribute on the AD domain identifies the parent group of a node, so when searching, the memberOf attribute is used to search for its parent group. If "Group based" is selected, this field needs to fill in the attributes of the group save subuser on the LDAP server. For example, the member attribute on the AD domain identifies a sub-user of a group, so when searching, the member attribute is used to search for a sub-user of a group.

**[Allow security group nesting]:** The check box determines whether the configuration (security) group is valid for the users under the group, or whether the users and subgroups under the group are recursive. If you select this field, the user and sub-groups of the corresponding (secure) group will be recursively effective. If unchecked, it means that only the subordinate users in the configured (secure) group are valid, and all subgroups are ignored.

**[Nesting Attribute]:** Nested properties can only be filled after "Allow security group nesting" is checked. This option indicates which attribute is used by the group that needs to be searched for when recursively looking up. If the "User based" mode is selected, this field only needs to be consistent with the "Associated Properties". If "Group based" is selected, this field needs to fill in the attributes of the group save subgroup on the LDAP server. For example, the member attribute on the AD domain identifies all subgroups of a group, so when searching, the member attribute is used to search all subgroups of a group.

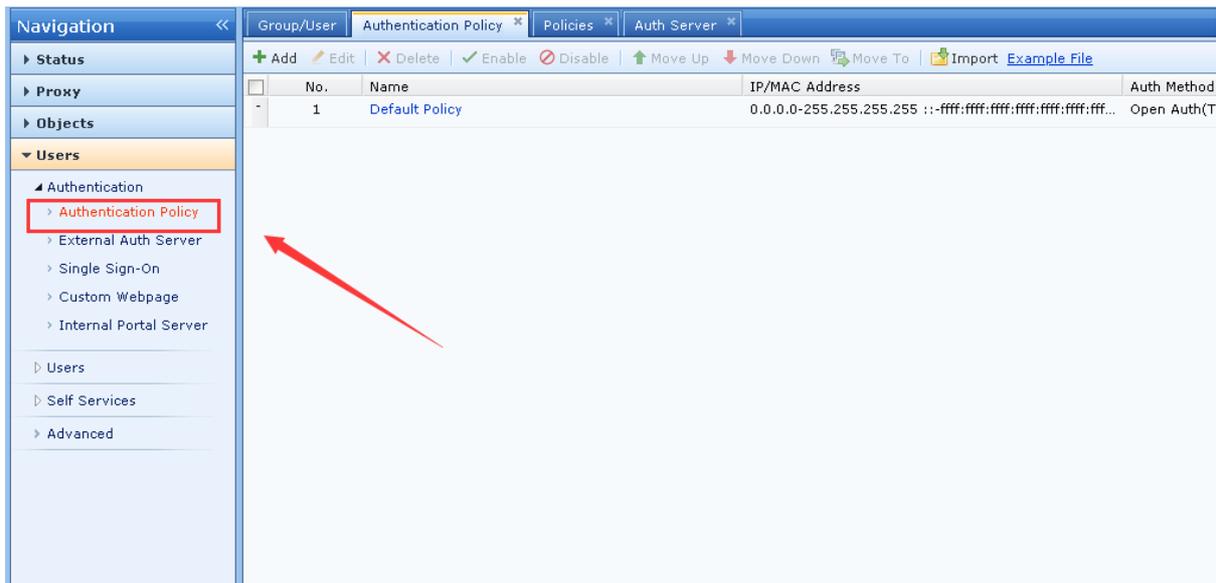
**[Page search]:** To search the LDAP server using the extension API, it is recommended to keep the default configuration.

**[Page size]:** The size returned when LDAP is paged, 0 means no limit, it is recommended to keep the default configuration.

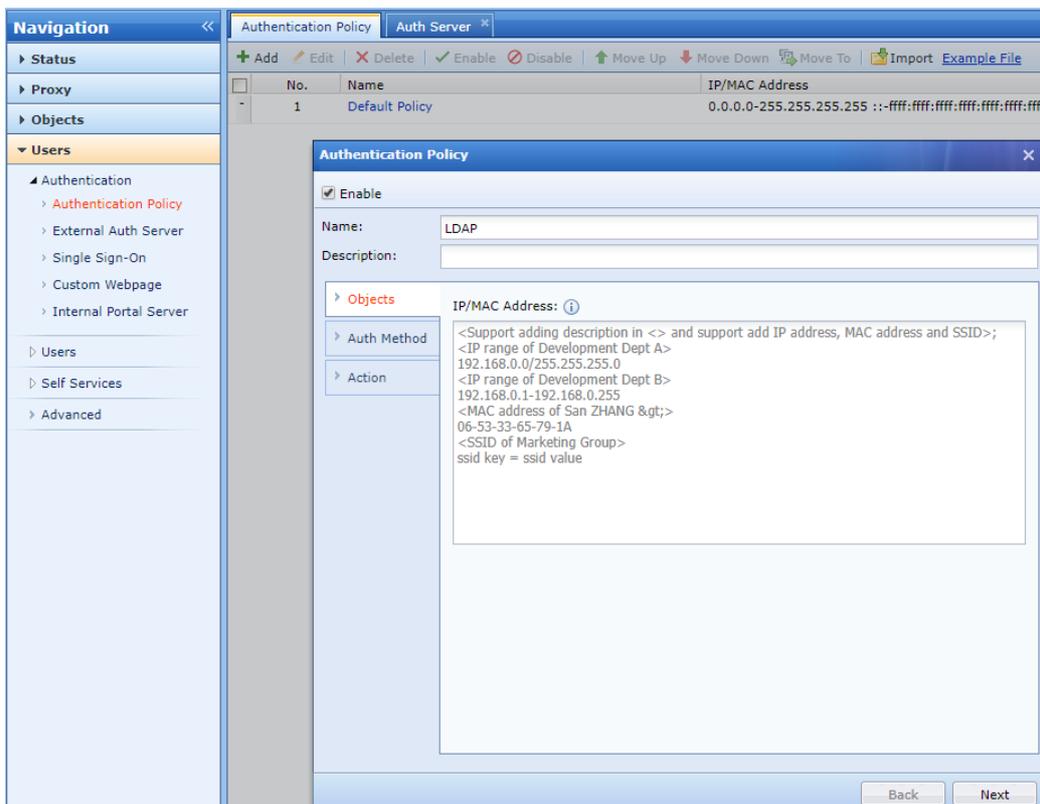
**[Max size]:** The size limit option when synchronizing LDAP, it is recommended to keep the default configuration.

## 2.2 User Authentication Configuration

1. Edit **Users > Authentication > Authentication policy**.

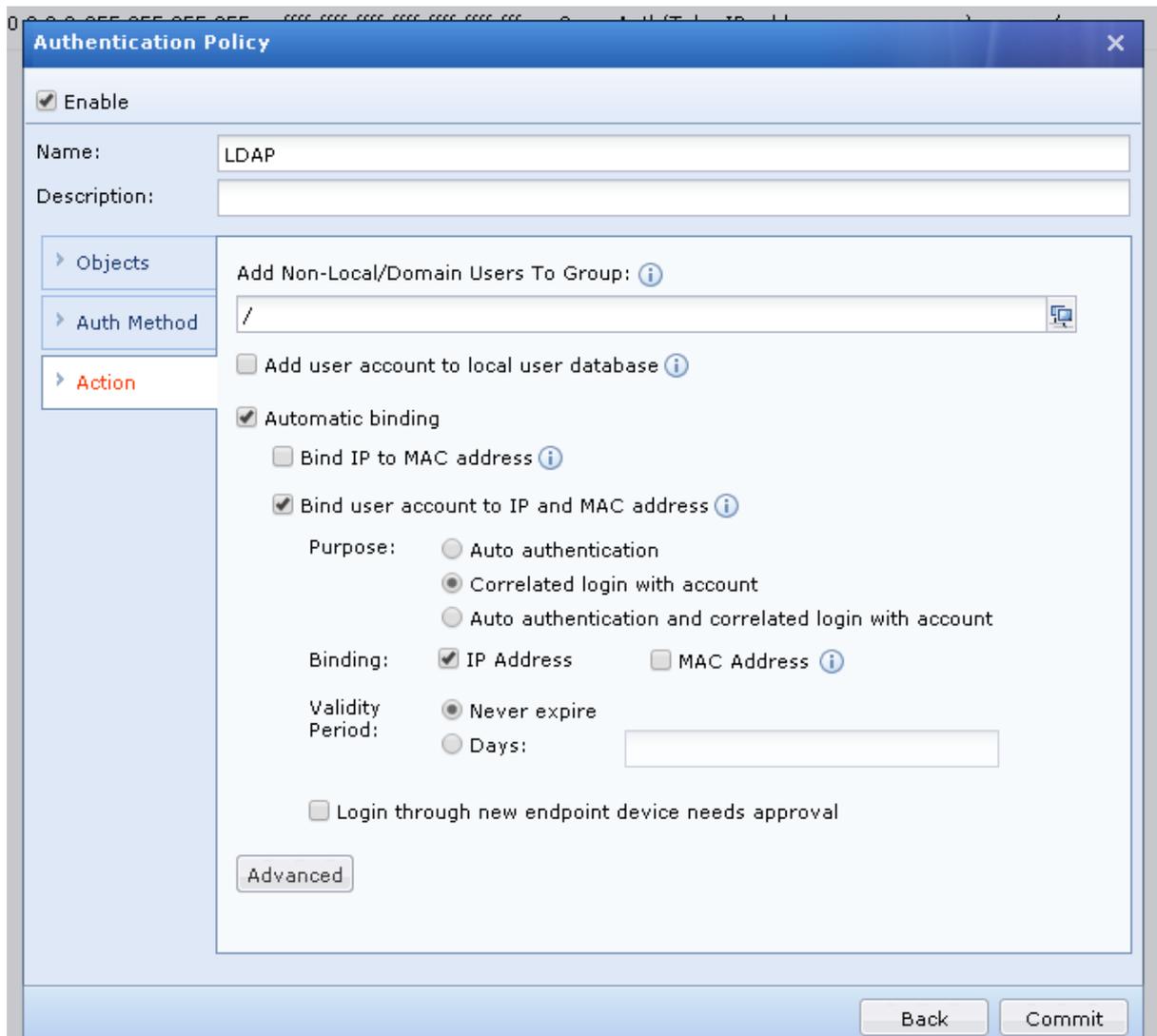


2. **Add > Authentication Policy** - It is recommended to test the process at the beginning of the test for a single address. After the test is successful, gradually expand the test range.

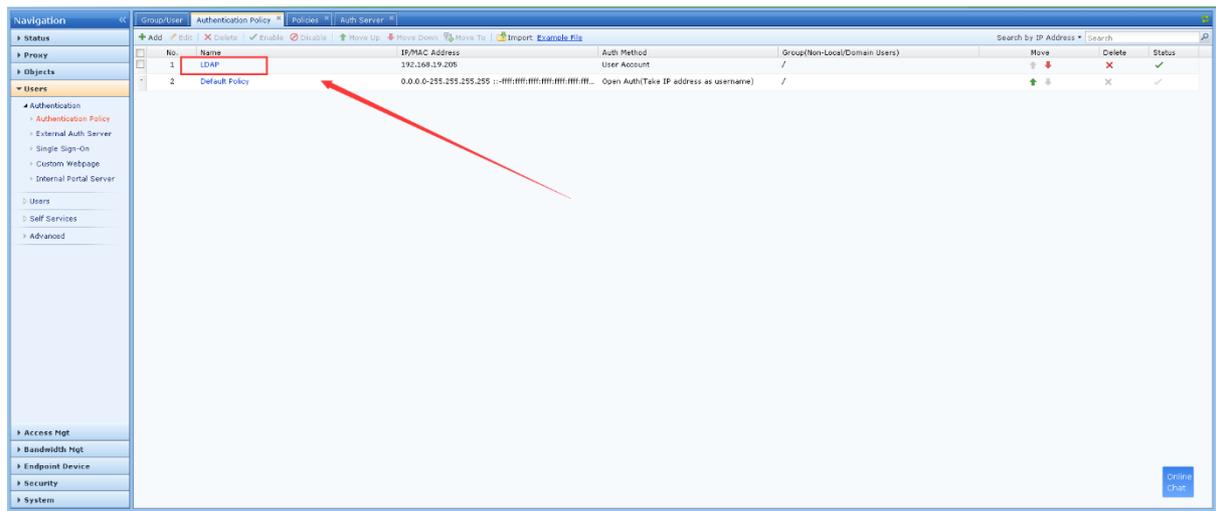


3. **Authentication method:** Choose authentication method: Password based. Auth server: Select the - LDAP domain server created in the external auth server.





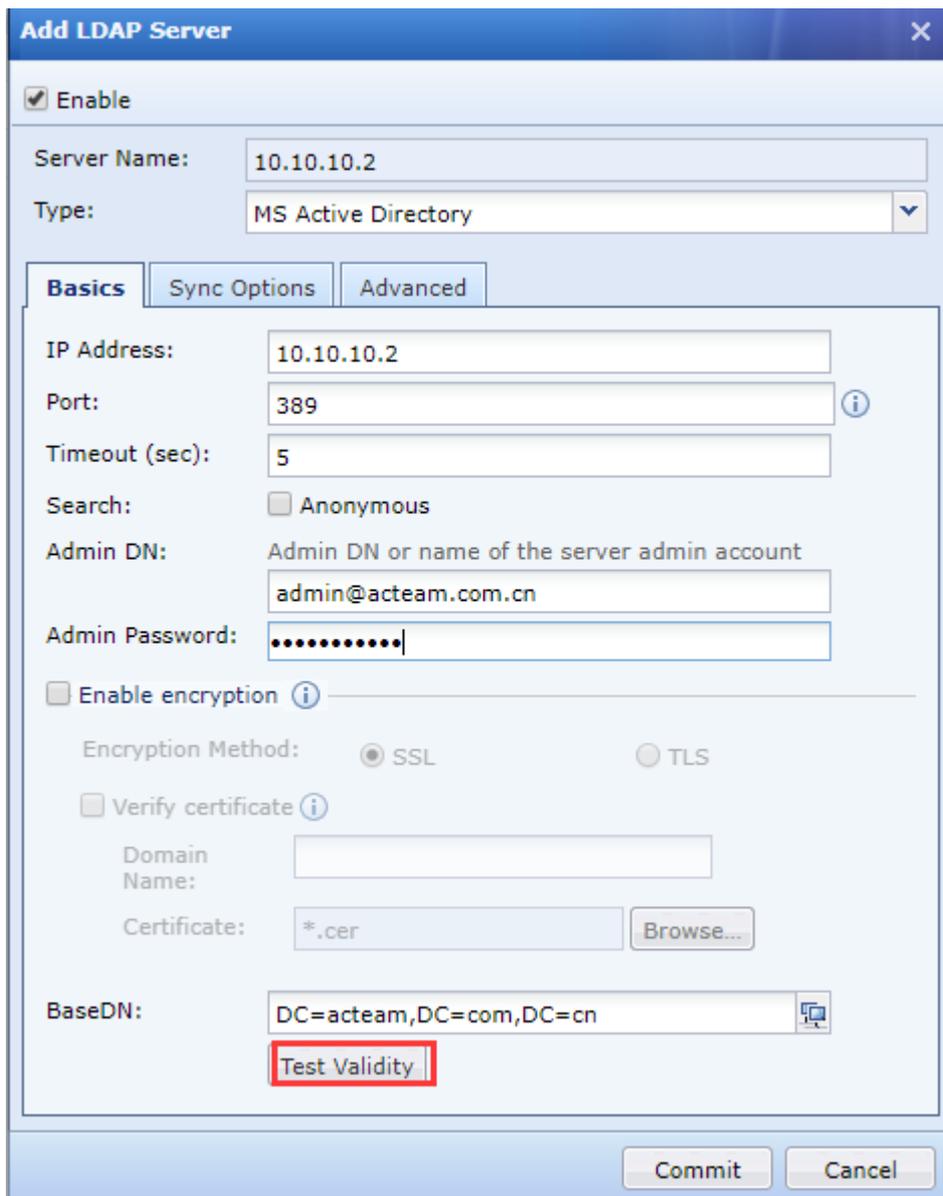
5. You can see the new policy in the authentication policy interface.



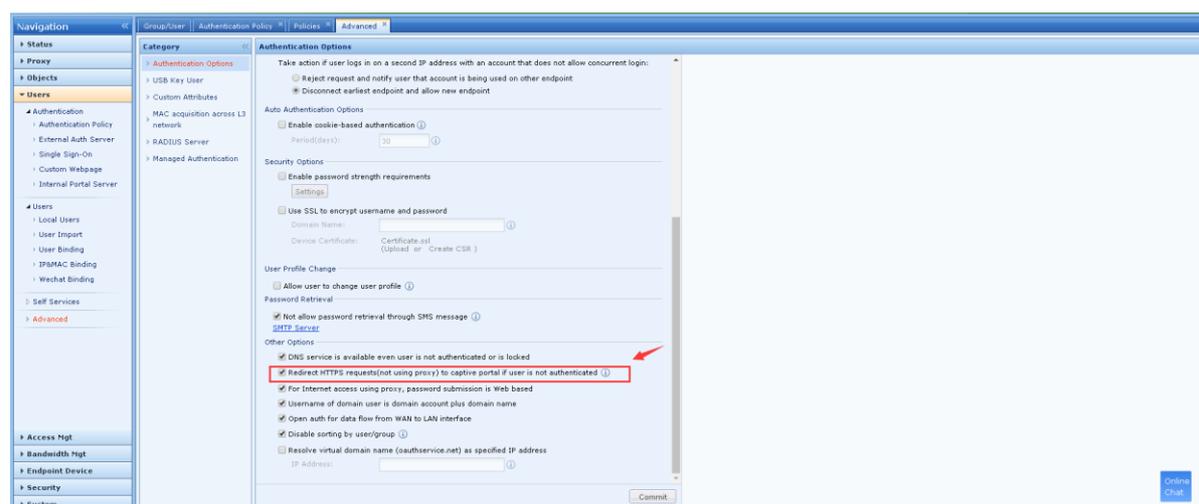
## Chapter 3 Precaution

1. When configuring the external authentication server administrator account and password, it is

recommended to click - [test validity] to ensure that it is available. as the picture shows:



2. The client opens the web page to pop up the authentication page. If it is domain URL link to open the link, you need to be able to resolve the domain name and open the URL of http. If you need to open the URL of https, you need to go to the authentication page. You need to select the authentication option. The options on the image below:



3. If the signing requirement is enabled, IAM can only connect to the AD domain through encryption. In particular, Windows 2000/2003/2008 does not support TLS encryption, and only SSL encryption can be used; Windows Server 2008 R2 and above support both TLS and SSL encryption.

## Chapter 4 Appendix A: LDAPS Configuration Guide

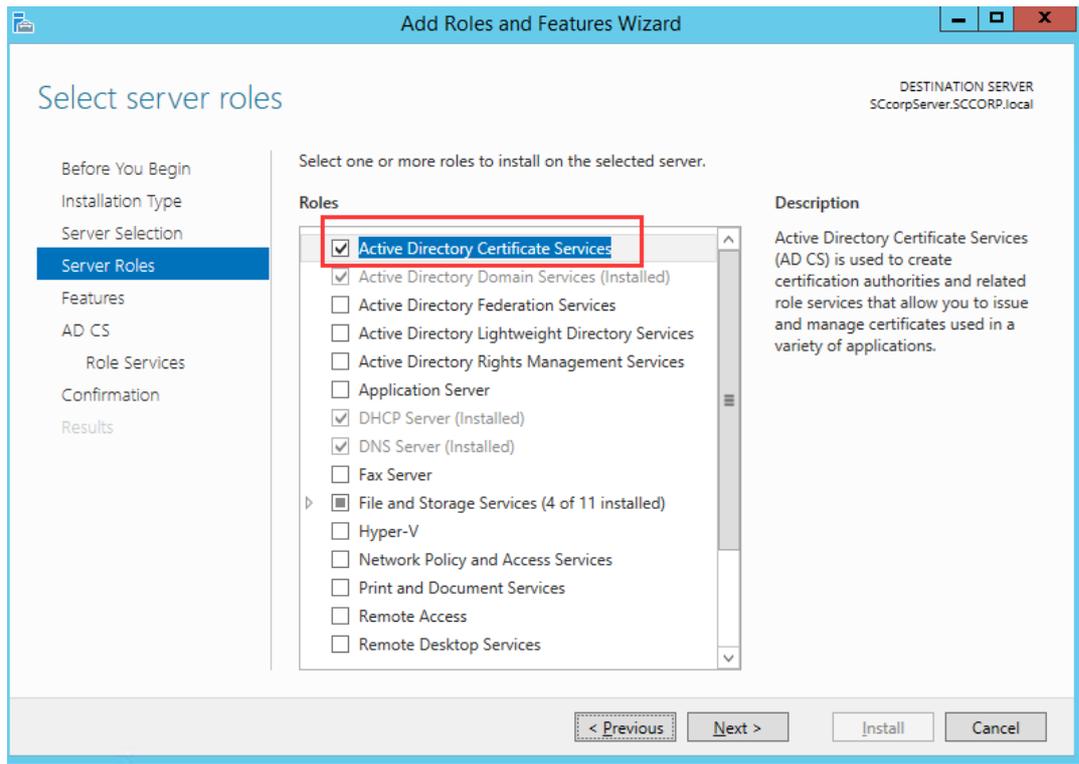
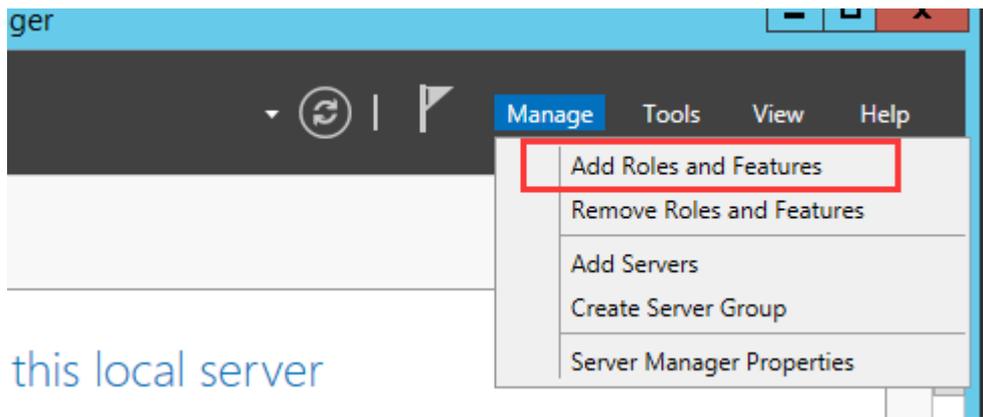
### 4.1 Background

In September 2019, Microsoft announced in the security bulletin [ADV190023 | Microsoft Guidance for Enabling LDAP Channel Binding and LDAP Signing] that LDAP channel binding and LDAP signing will be enabled on the Active Directory server through the security update method (KB patch) in mid-January 2020. The security of Active Directory domain controllers can be significantly improved by configuring the server to reject Simple Authentication and Security Layer (SASL) LDAP binds that do not request signing (integrity verification) or to reject LDAP simple binds that are performed on a clear text (non-SSL/TLS-encrypted) connection. SASLs may include protocols such as the Negotiate, Kerberos, NTLM, and Digest protocols.

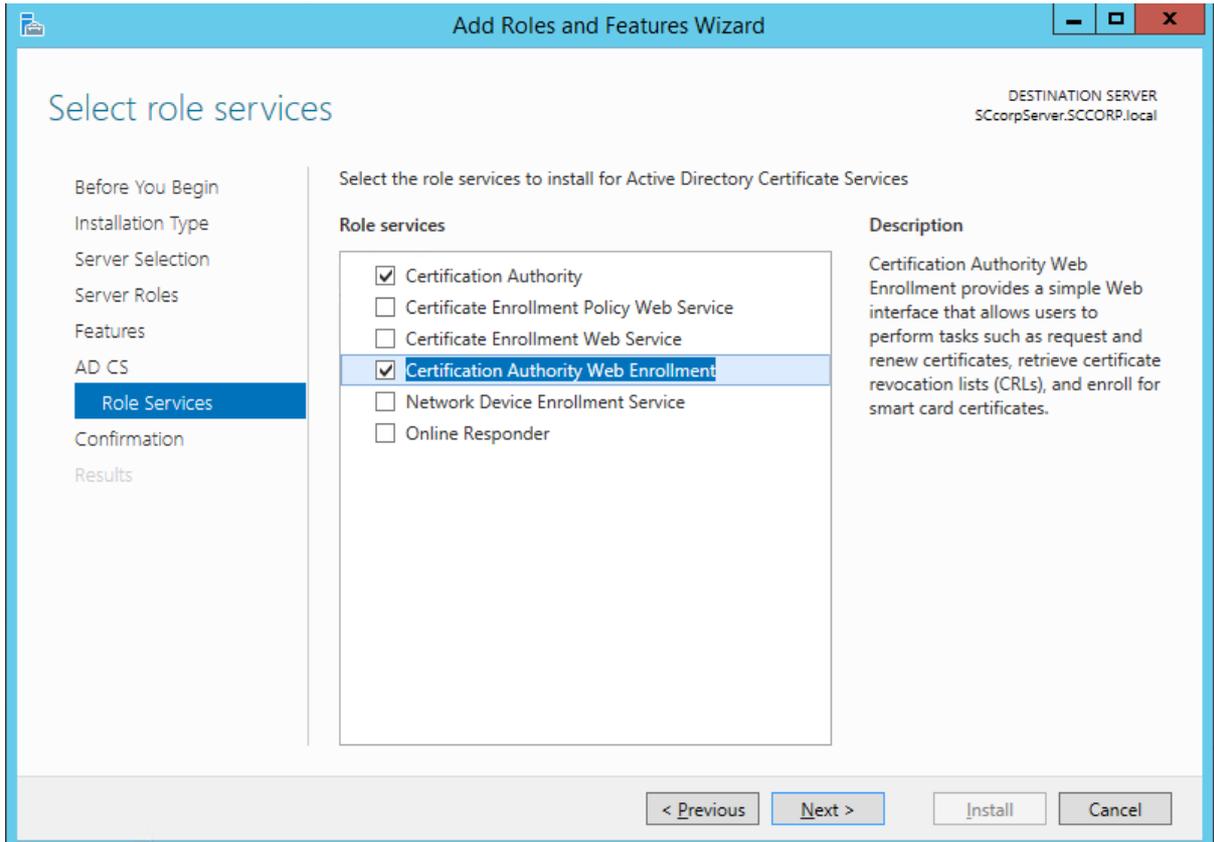
### 4.2 Configuration of Server Certificate Installation

After installing certificate service, the server root certificate can be exported for client certificate verification to enhance security. For how to install certificate service on the Active Directory server, refer to the following tutorial:

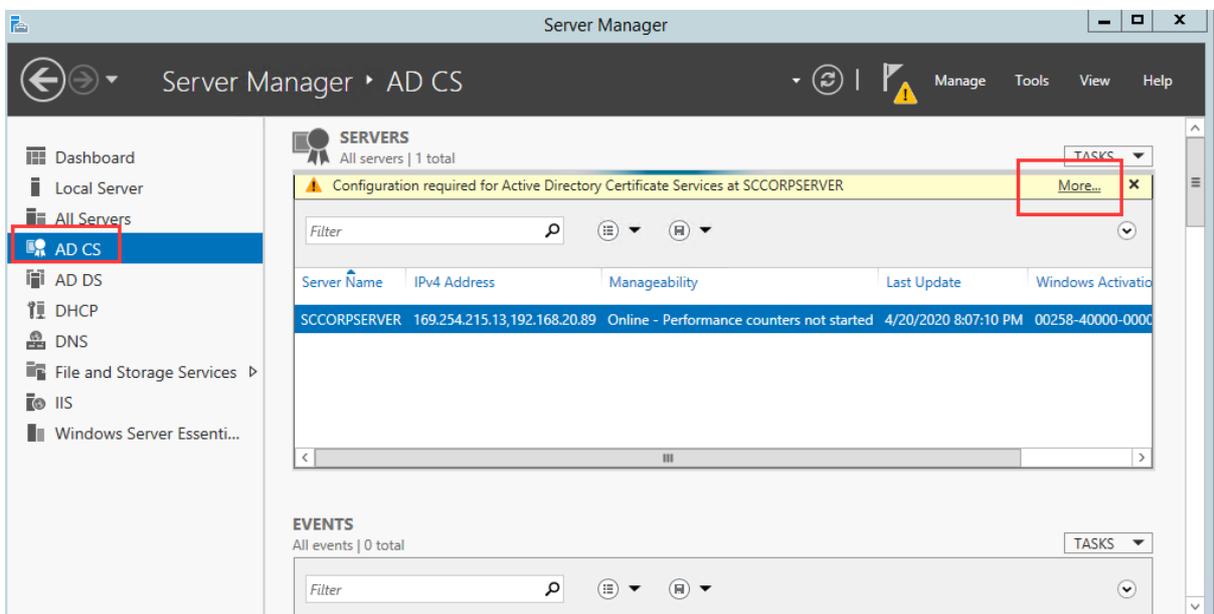
Open the Server Manager, right click add Roles and Features (using 2012 R2 to test), install Active Directory Certificate Services:

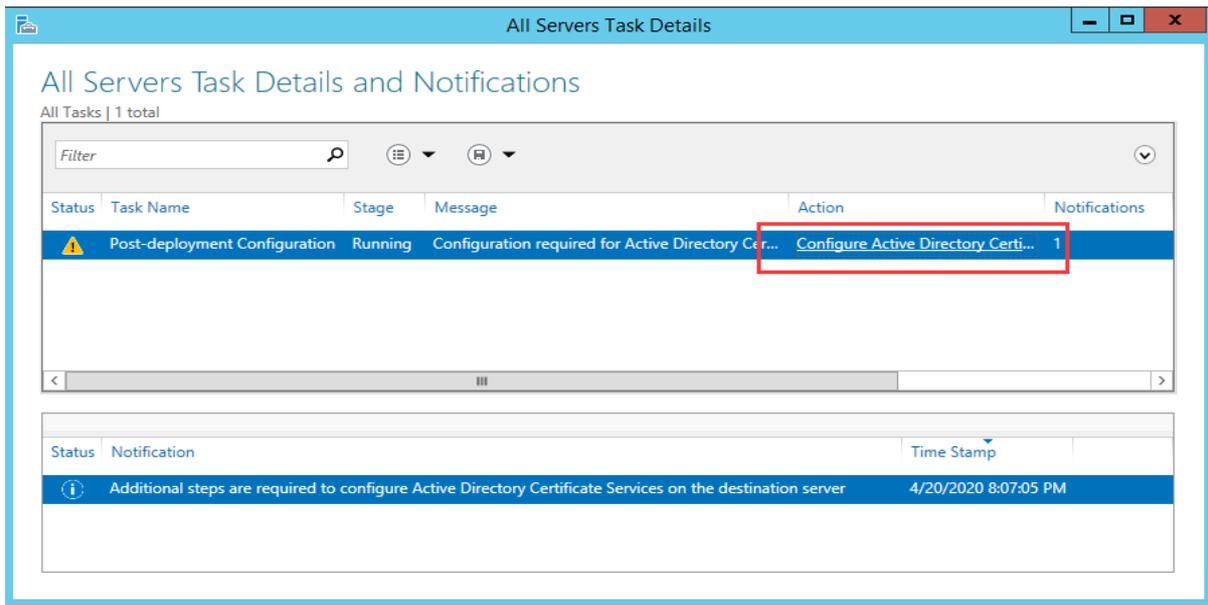


Select Certificate Authority and Certificate Authority Web Enrollment:

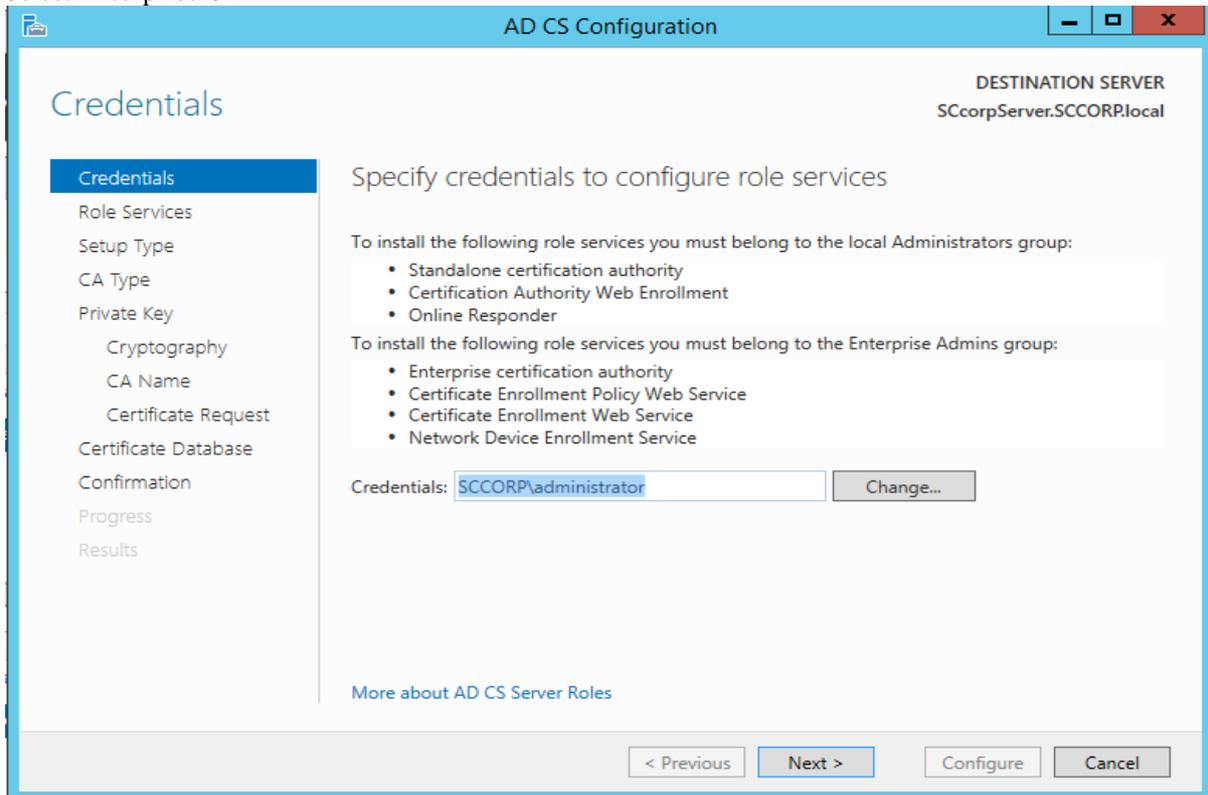


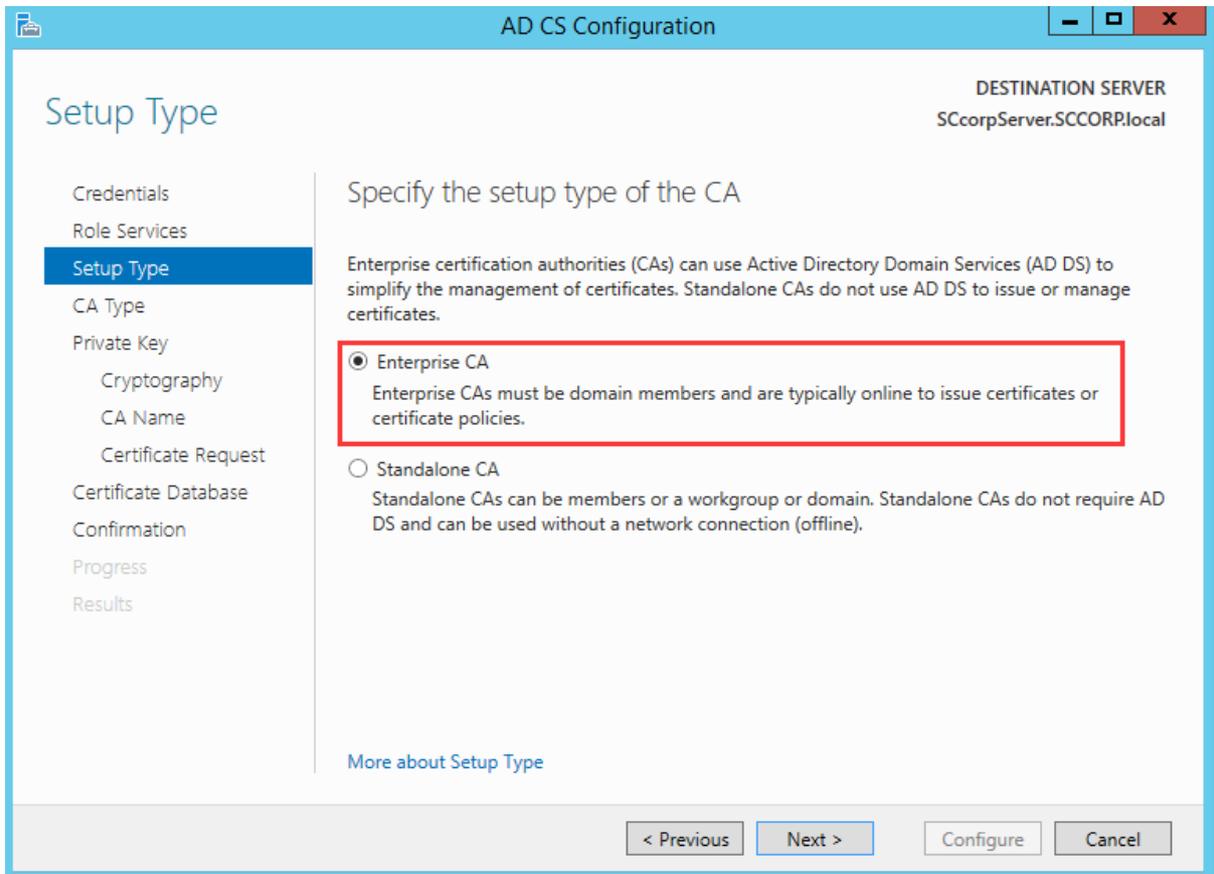
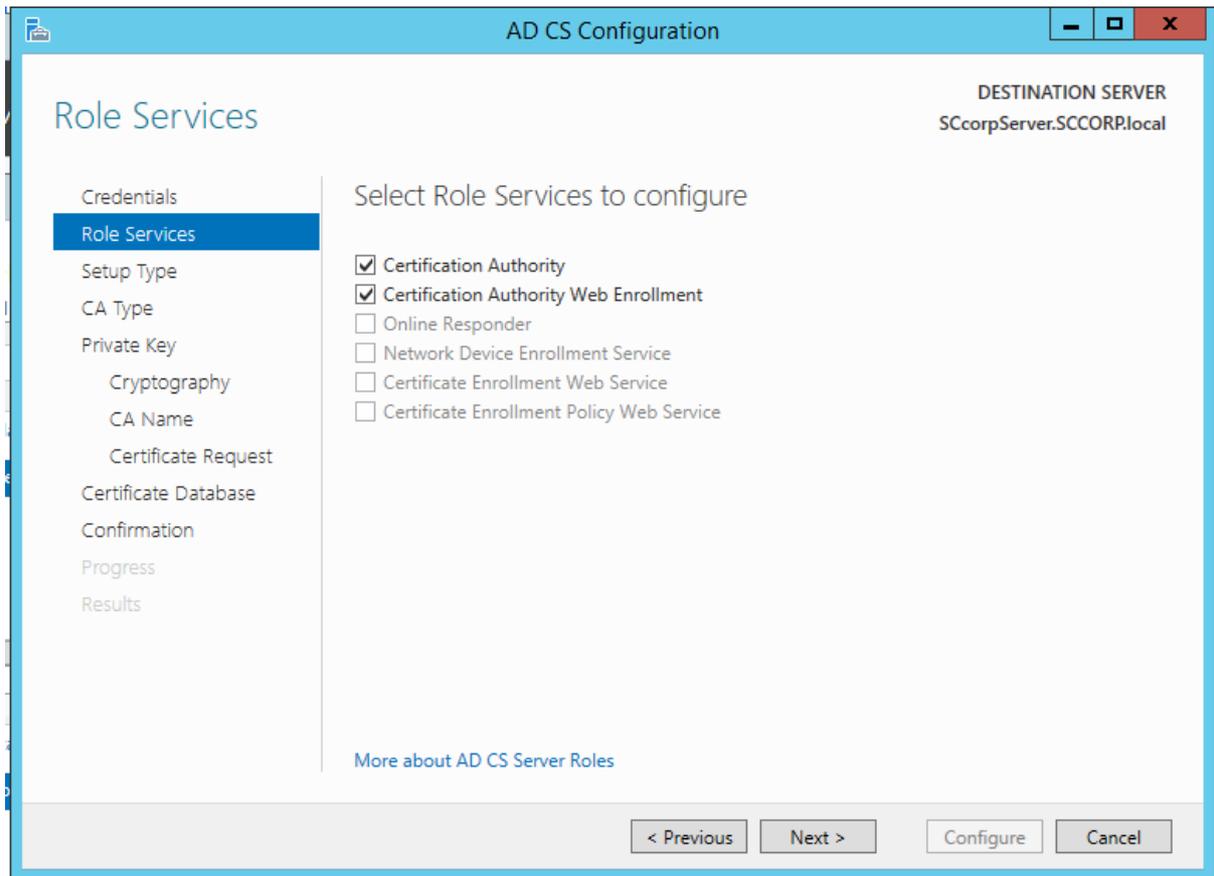
Go to AD CS, select more and click on Configure Active Directory Certification:



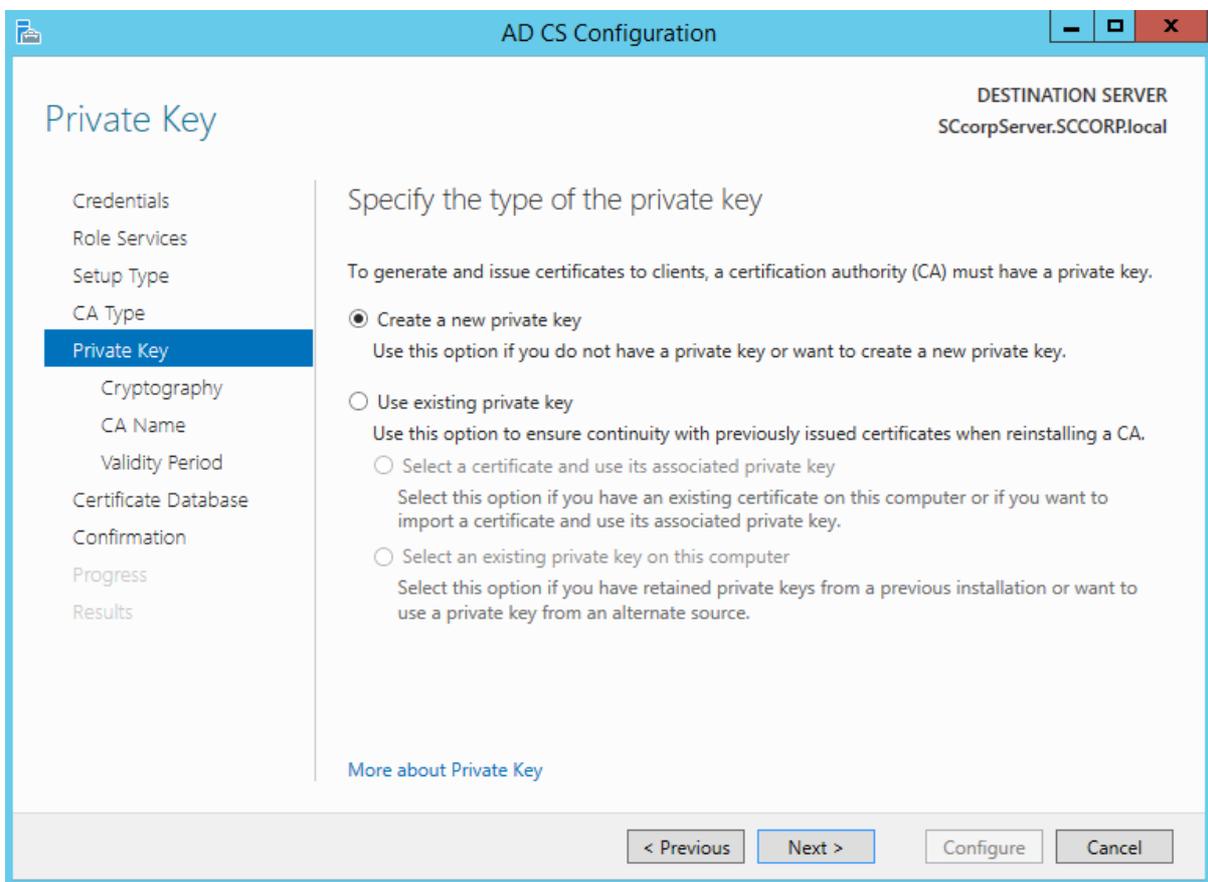
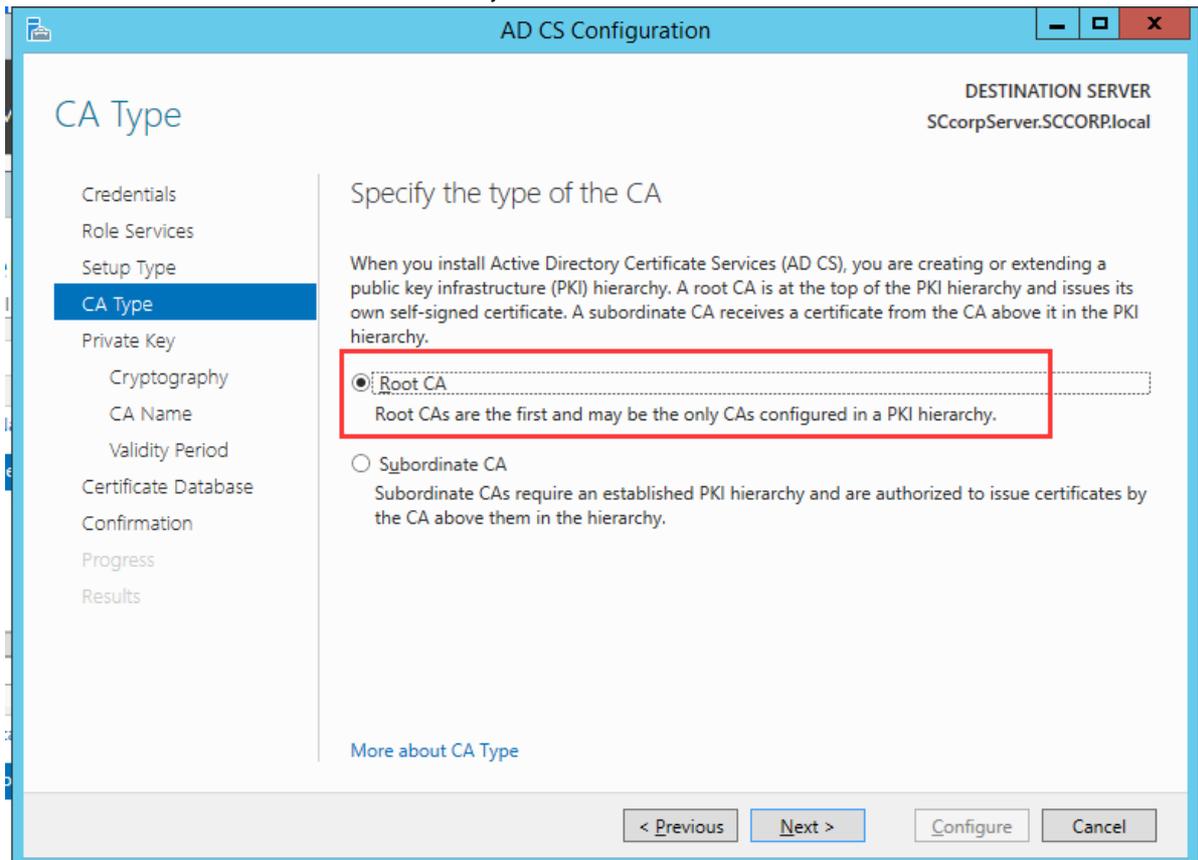


Select Enterprise CA:

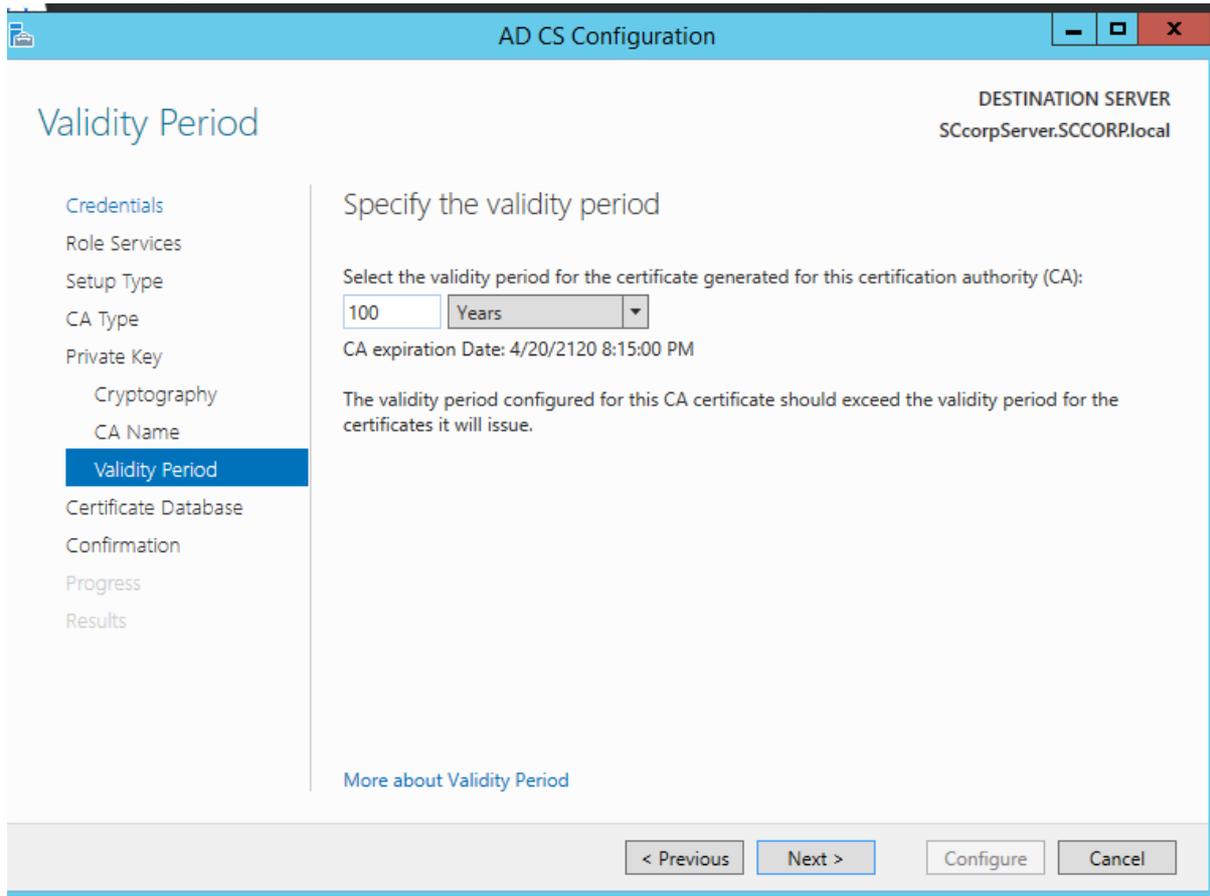




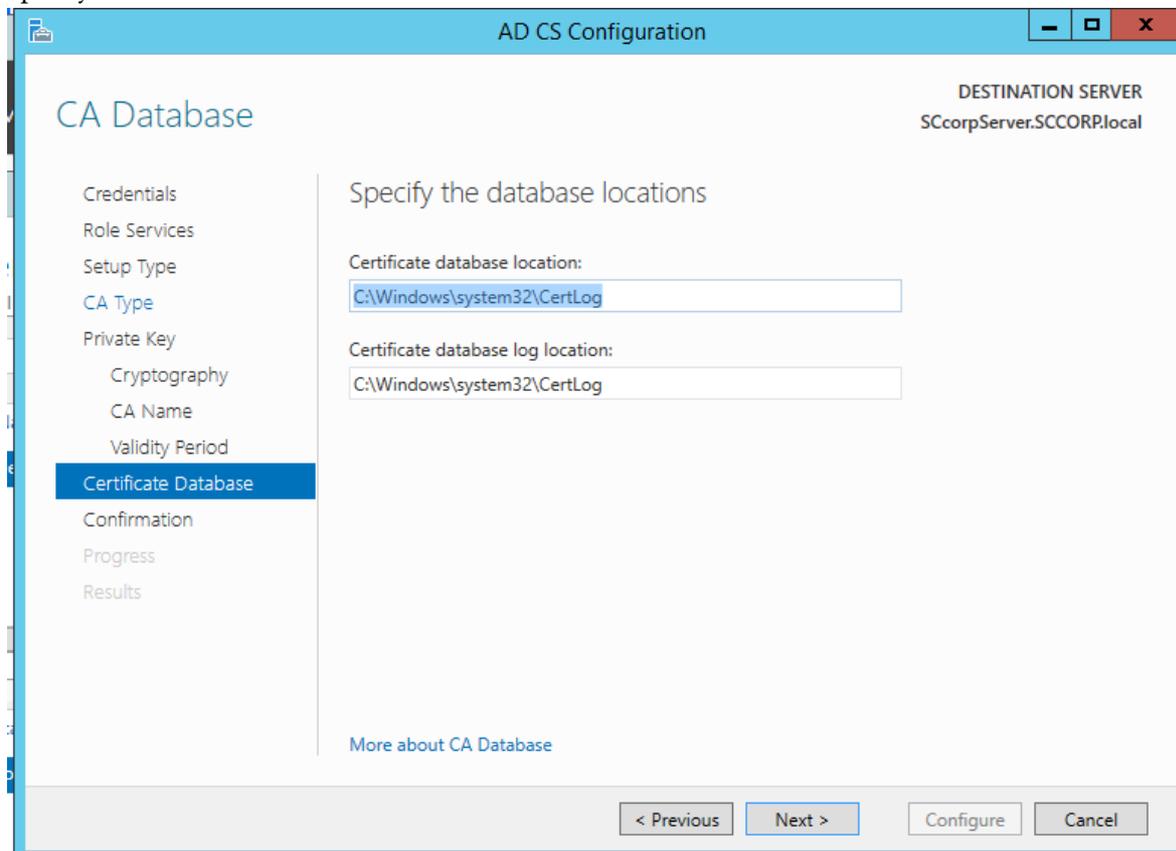
Select Root CA and create New Private Key:



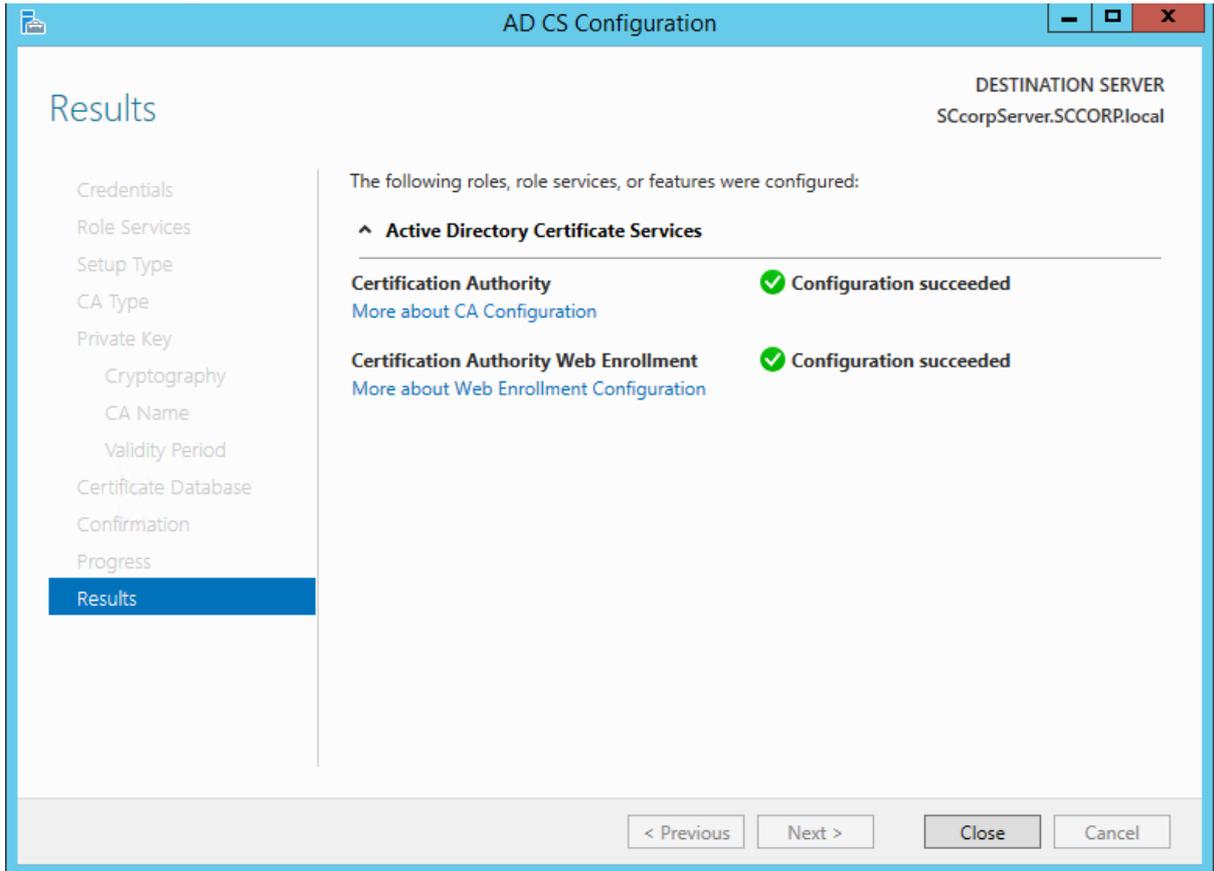
Set the validity period:



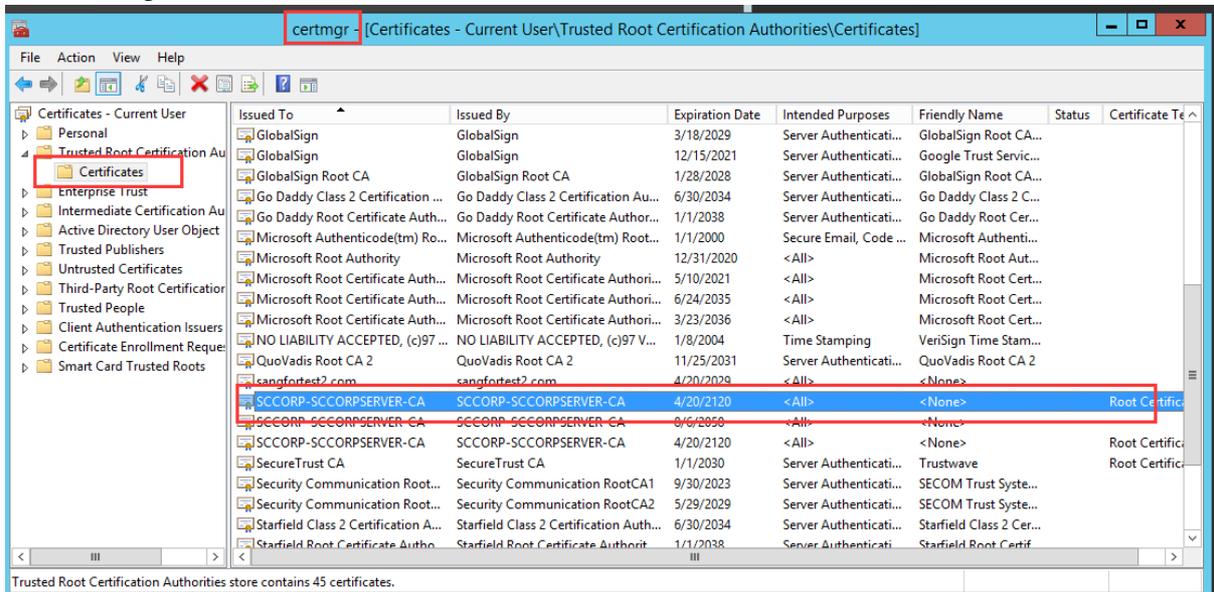
Specify the database location:



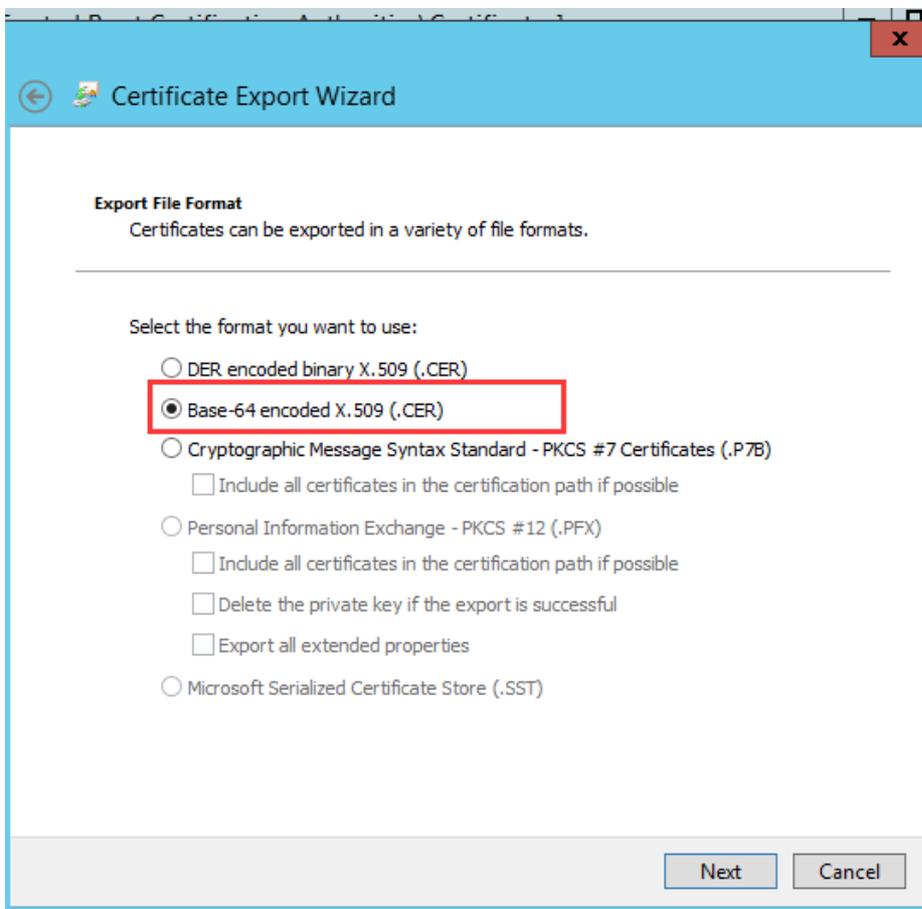
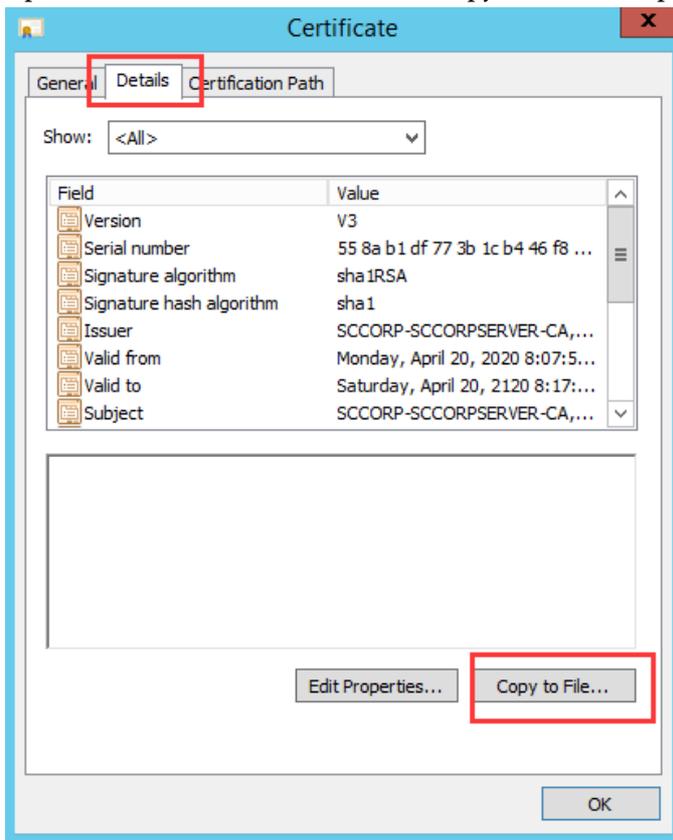
Installation complete:



Go to certmgr.msc:

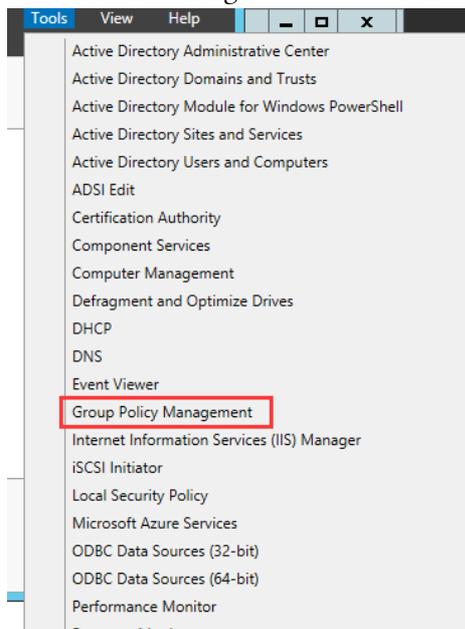


Open the cert and click on Details -> Copy to File and export as Base-64 with .cer format:

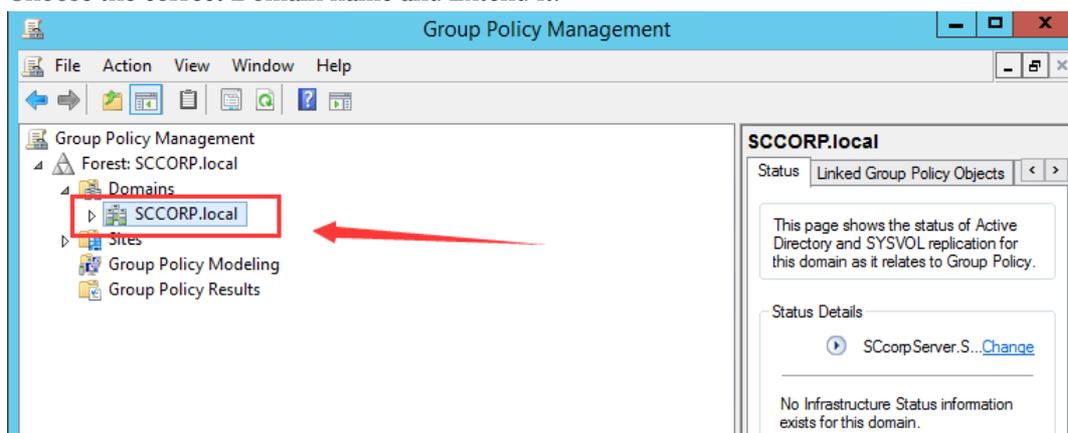


### 4.3 Configuration of LDAPS Server Signing

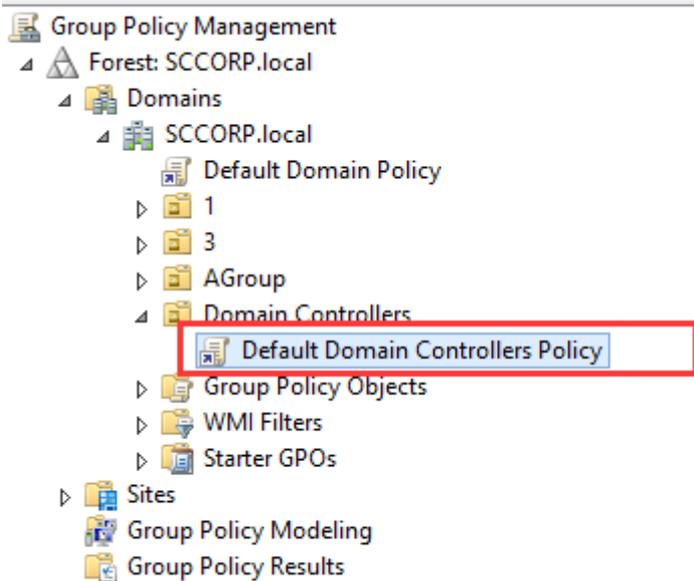
Go to Server Manager ->Tools -> Click Group Policy Management:



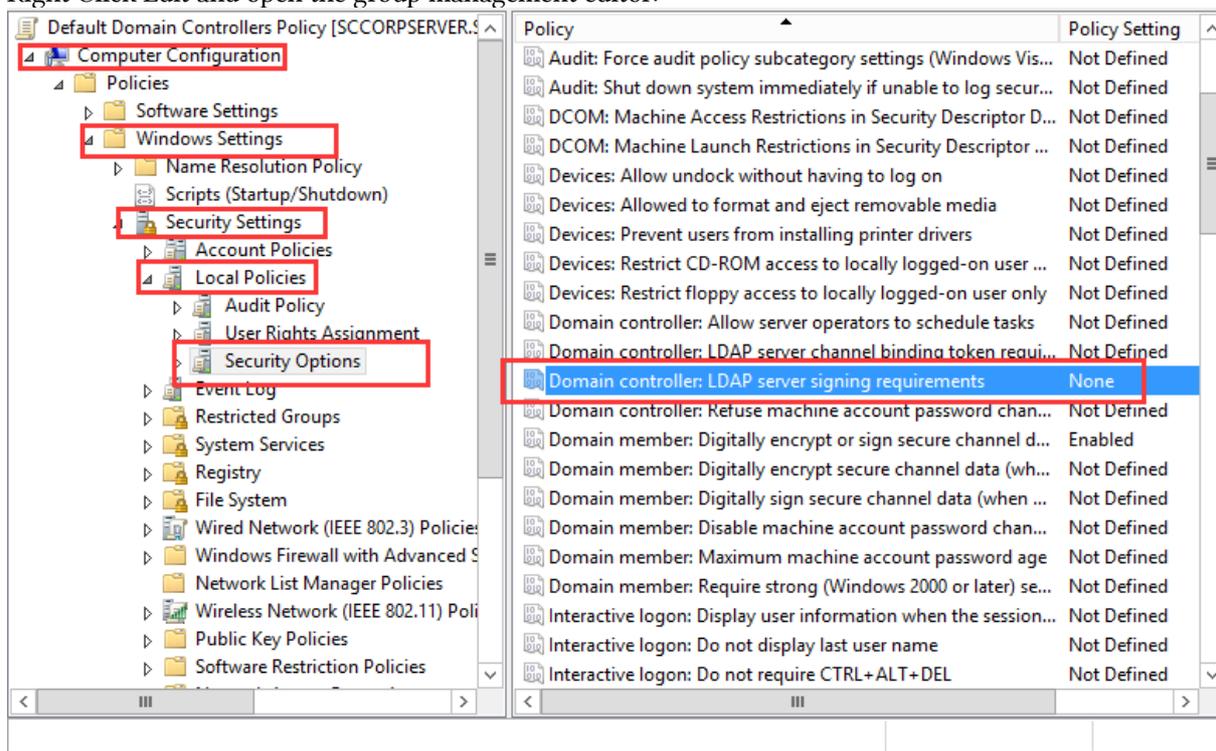
Choose the correct Domain name and Extend it.



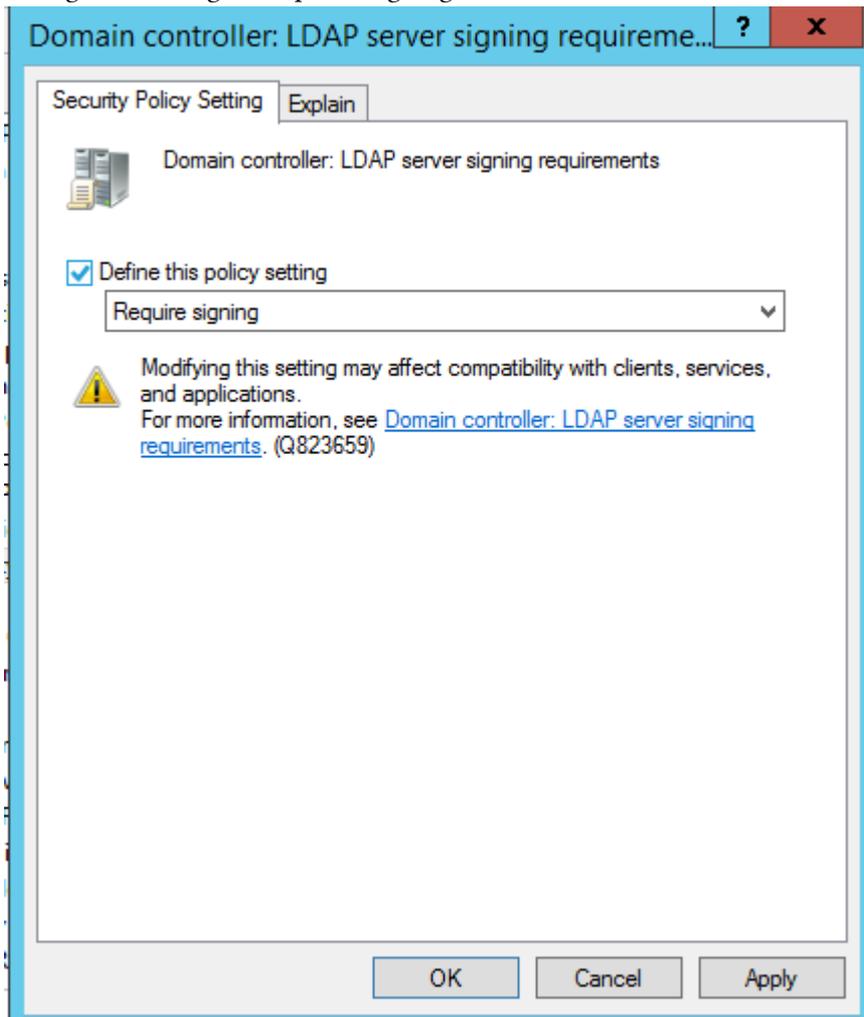
Choose the domain controller -> Select Default Domain controller Policy:



Right Click Edit and open the group management editor:



Change the setting to Required signing.



After configured, CMD run the gpupdate /force to push the group policy.

 Command Prompt

```
C:\Users\Administrator>
C:\Users\Administrator>gpupdate /force
Updating policy...

Computer Policy update has completed successfully.
User Policy update has completed successfully.

C:\Users\Administrator>
```

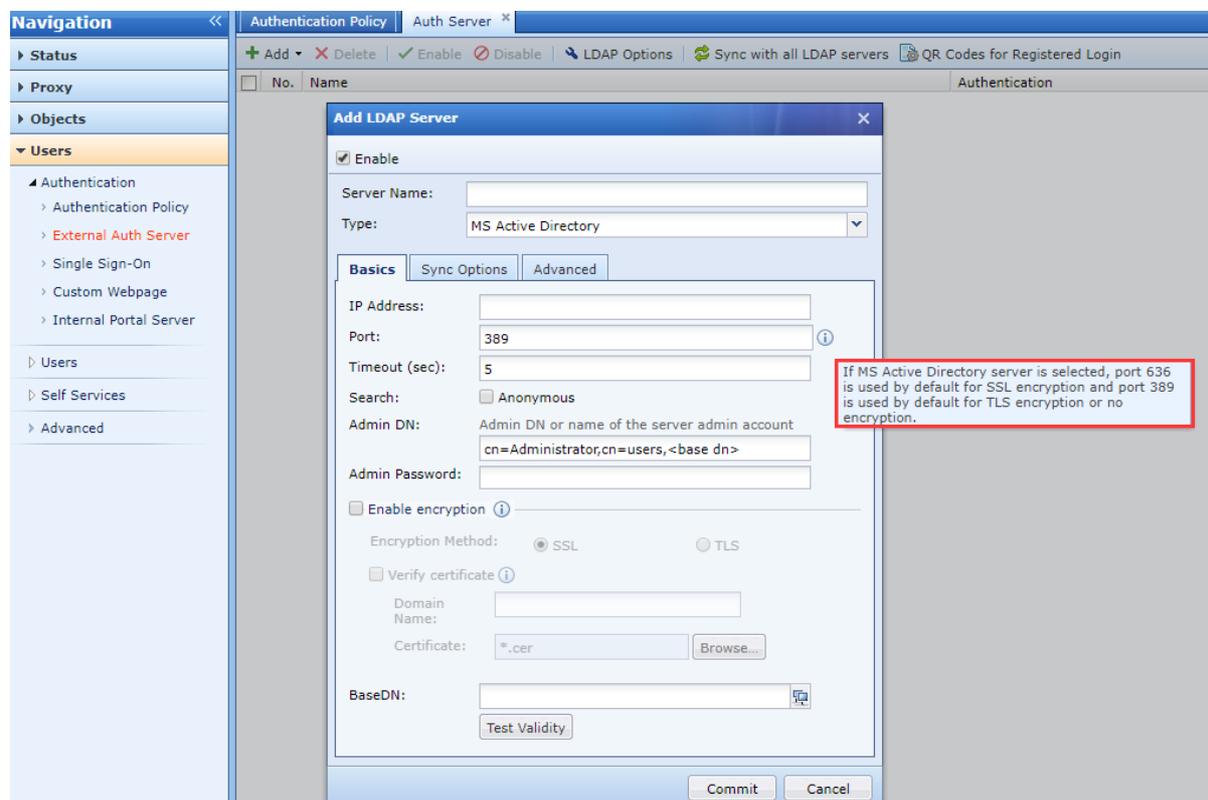
## 4.4 AD Configuration on IAM

The above are the configuration tutorial on the AD domain. This section describes the configuration of the AD domain server on IAM:

### 4.4.1 Authentication Port Description

As shown in the figure, the LDAP server is configured at the external authentication server to connect with the Microsoft AD domain:

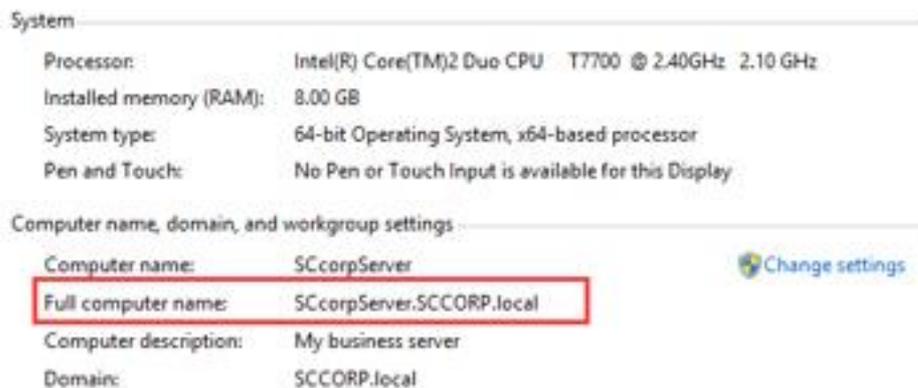
- When encryption is not enabled, the default port is 389.
- If encryption is enabled, when the encryption method is SSL, the authentication port is 636.
- If encrypted is enabled, when the encryption method is TLS, the authentication port is 389.



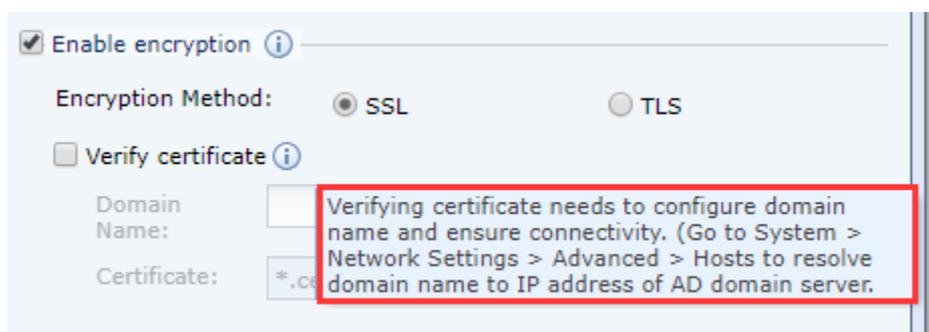
### 4.4.2 Enable Encryption

As shown in the figures below:

- The LDAP server can be configured not to enable encryption. In this scenario, the LDAP server signing requirement is not enabled on the Microsoft AD domain.
- If the AD domain has been configured to enable LDAP server signing requirement, then encryption must be turned on here. The encryption method can be selected by yourself, and the authentication port can be modified according to the selected encryption method as described above.
- The verify certificate function can be turned off, and it will not affect the connection with the AD domain with server signing requirement enabled.
- If the verify certificate function is enabled, you need to configure the domain name and import the certificate file:
  - The configuration of the domain name needs to be configured as the full computer name of the AD domain server: as shown below, you can log in to the AD domain server to obtain this field, as shown in the following figure:



- After the domain name is configured, you need to add the host rule to resolve the filled domain name to the IP address of the AD domain server:



- Import the certificate. The certificate needs to be a Base64 encoded .cer format certificate exported from the root certificate file on the AD domain server. This is described in the [Configuration of Server Certification Installation] section and will not be repeated here.



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